



05-23-03

#6  
RCE/1600  
CW

PTO/SB/30 (08-00)

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**REQUEST  
FOR  
CONTINUED EXAMINATION  
(RCE)  
TRANSMITTAL**Section (b) of 35 U.S.C. § 132, effective on May 29, 2000,  
provides for continued examination of an utility or plant application  
filed on or after June 8, 1995.  
See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/994,153
Filing Date	11/26/01
First Named Inventor	Richard H. Hutchings
Group Art Unit	1624
Examiner Name	Richard L. Raymond
Attorney Docket Number	ORT-1541

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (a) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 60062 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14855 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

**1. Submission required under 37 C.F.R. § 1.114**

- a. ☐ Previously submitted  
i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on  
(any unentered amendment(s) referred to above will be entered).  
ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on
- iii. ☐ Other
- b. ☐ Enclosed  
i. ☐ Amendment/Reply  
ii. ☐ Affidavit(s)/Declaration(s)  
iii. ☐ Information Disclosure Statement (IDS)  
iv. ☒ Other (Remarks Accompanying an RCE)

**2. Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(f) required.)
- b. ☐ Other

**3. Fees** - The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 10-0750.  
i. ☒ RCE fee is required under 37 C.F.R. § 1.17(e)  
ii. ☐ Extension of Time (37 C.F.R. §§ 1.136 and 1.17)  
iii. ☐ Other (Remarks Accompanying an RCE)
- b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (print/type)	Ruby T. Hope	Registration No.	34,350
Signature	<i>Ruby T. Hope</i>	Date	5/20/03
May 22, 2003			

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail, # EV 065838924 US in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on: May 22, 2003

Name (print/type)	Karen Hall-Morgan	Date	May 22, 2003
Signature	<i>Karen Hall-Morgan</i>		

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DOCKET NO. ORT-1541

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Richard H. Hutchings, Haripada Khatuya, Gee-Hong Kuo, Xiaobing Li, William V. Murray, Catherine Prouty, Frank Villani, Nelson C. F. Yim, Cynthia Maryanoff

For : NOVEL HETEROCYCLES USEFUL IN THE TREATMENT OF BENIGN PROSTATIC HYPERPLASIA

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Karen Hall-Morgan

(Typed or printed name of person mailing paper or fee)

Karen Hall-Morgan

(Signature of person mailing paper or fee)



Docket No. ORT-1541

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Richard H. Hutchings, Haripada Khatuya, Gee-Hong Kuo, Xiaobing Li,  
William V. Murray, Catherine Prouty, Frank Villani, Nelson C. F. Yim,  
Cynthia Maryanoff  
Serial No. : 09/994,153  
Filed : 11/26/01  
Title : NOVEL HETEROCYCLES USEFUL IN THE TREATMENT OF  
BENIGN PROSTATIC HYPERPLASIA  
Art Unit : 1624  
Examiner : Richard L. Raymond

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May 22, 2003  
(Date of Deposit)

Theresa S. Gauld  
(Name of applicant, assignee, or Registered Representative)

Theresa S. Gauld  
(Signature)

May 22, 2003  
(Date of Signature)

Honorable Commissioner of Patents  
P.O. Box 1450, Alexandria, VA 22313-1450

**REMARKS ACCOMPANYING AN RCE**

Dear Sir:

A Notice of Allowance and Issue Fee Due was mailed for this case on February 25, 2003. After receipt of this notice, the attorney of record was notified that there may be more inventors of the claimed invention that are listed on the filed Oath and Declaration. In order to

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investigate whether or not inventors need to be named, Applicants request that prosecution is continued and a Request For Continued Examination accompanies these Remarks.

Respectfully submitted,

By: RT Hope

Ruby T. Hope  
Reg. No. 34,350

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Dated: May 22, 2003